

Governance and Audit Committee Action Record

Date of Committee	Item	Lead	Target Date	Action	Date for action to be brought to Committee	Completed Date
15 March 2022	Corporate Complaints	Corporate Director Education and Family Support	June 2022	Update to be provided to the Committee on how school complaints were recorded and ensuring they were recorded effectively.	June 2023	
22 June 2022	Audit Wales Governance and Audit Committee Report	Chief Officer – Finance, Performance and Change	July 2022	Council to develop an Action Plan on each of the recommendations emanating from the national report on Direct Payments.	June/July 2023	
		Audit Wales		Audit Wales to discuss with its Local Government Studies Team on what steps are to be taken by the Welsh Government in working with local authorities on the implementation of best practice on Direct Payments.	January 2023	January 2023
22 June 2022	Corporate Risk Assessment 2022-23	Chief Officer – Finance, Performance and Change Chief Officer – Legal and Regulatory, HR and Corporate Policy		<ol style="list-style-type: none"> 1. A Task and Finish Group be set up to monitor and agree a framework of key risks and mitigating actions being taken by officers on the Risk Register. 2. Information to be provided to the relevant Overview and Scrutiny Committee that the assurance and review of risks scores is ongoing. 	Ongoing Ongoing	
28 July 2022	Audit Wales Governance and Audit Committee Report	Audit Wales	September 2022	Audit Wales representative to clarify how metrics are defined in relation to sleeping rough and homelessness across Councils with the Project Manager responsible for this piece of work and she would update the Committee in the future.	April 2023	
28 July 2022	Statement of Accounts 2021-22 (Unaudited)	Group Manager – Chief Accountant	September 2022	Information to be included in this year's Statement of Accounts on climate change in connection with the Council's commitment around 2030 and the Council's progress towards 2030.	January 2023	January 2023
28 July 2022	Annual Governance Statement 2021-22	Chief Officer – Finance, Performance and Change	September 2022	A statement be included in the Annual Governance Statement on the control mechanisms the Council has in place on the movement of former Councillors becoming officers of the	January 2023	

				Council. (Action completed by the Regional Internal Audit Service)		
13 October 2022	Audit Wales Governance and Audit Committee reports	Chief Officer – Finance, Performance and Change		A process be brought back to committee outlining how Audit Wales and other regulators recommendations will be dealt with via the Scrutiny and G&AC process	January 2023	January 2023
13 October 2022	Corporate Complaints	Chief Officer – Legal and Regulatory Services, HR and Corporate Policy		Timing of Corporate Complaints internal update report to be updated and submitted to Members in April 2023 with a further 6 month update then coinciding with the Ombudsman's report on the same topic in October	June 2023	
10 November 2022	Half Year Review of the Annual Governance Statement	Chief Officer – Finance, Performance and Change and Group Manager – Chief Accountant	Ongoing	Treasury Management training to be provided for all Committee Members including Lay Members in January/February 2023 and further training needs to be identified through the annual training analysis.	April 2023	February 2023
26 January 2023	Audit Wales Governance and Audit Committee reports	Corporate Director Social Services & Wellbeing		To receive a progress report on the implementation of the action plan in relation to the Audit Wales report 'Transformational Leadership Programme Board – Baseline Governance Review - Cwm Taf Morgannwg Regional Partnership Board' (outlined in Appendix C)	June 2023	
26 January 2023	Treasury Management Strategy 2023-24	Chief Officer – Finance, Performance and Change		To look at implementing an ethical investment policy following discussion with the treasury management advisors	July 2023	
26 January 2023	Governance And Audit Committee Self-Assessment of Knowledge & Skills	Head of Regional Internal Audit Service		To draft the learning and development plan for Members	July 2023	